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**TUTORIAL REVIEW** Edward I. Solomon, Xiangjin Xie and Abhishek Dey Mixed valent sites in biological electron transfer

THEMATIC ISSUE

A critical review and five tutorial reviews on the theme of gas kinetics are included in this issue



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### Mixed valent sites in biological electron transfer

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Many of the active sites involved in electron transfer (ET) in biology have more than one metal and are mixed valent in at least one redox state. These include  $Cu_A$ , and the polynuclear Fe–S clusters which vary in their extent of delocalization. In this *tutorial review* the relative contributions to delocalization are evaluated using S K-edge X-ray absorption, magnetic circular dichroism and other spectroscopic methods. The role of intra-site delocalization in ET is considered.

#### Introduction

Fig. 1 summarizes the metal sites presently known to be involved in biological electron transfer (ET).<sup>1-3</sup> In Cu bioinorganic chemistry, these are the blue copper and Cu<sub>A</sub> sites. Both have Cu centers in trigonal ligand fields. Blue copper sites have a highly covalent thiolate and two histidine (His) equatorial ligands, and a weak axial ligand, while each metal center in Cu<sub>A</sub> has a His and two bridging thiolate equatorial ligands with additional weak trans axial ligands, one on each copper.4,5 Rubredoxins, ferredoxins (Fds) and high potential iron-sulfur proteins (HiPIPs) have 1 to 4 Fe atoms in distorted tetrahedral fields of bridging sulfide and terminal thiolate ligands. The Fe centers are high-spin in all redox states. The cytochromes have heme Fe sites with two additional axial ligands creating a strong ligand field and low-spin Fe centers. In all cases, the ligand field and protein environment tune the reduction potential of the sites into their physiological range,<sup>3</sup> and ET is rapid with a low reorganization energy ( $\lambda$ , little change in geometry with redox) and large electronic coupling through the protein  $(H_{DA})$  activating electron transfer.<sup>6,7</sup>

Department of Chemistry, Stanford University, Stanford, California 94305, USA. E-mail: edward.solomon@stanford.edu In past reviews, our focus has been on the blue copper site and how its unique spectroscopic properties reflect a novel electronic structure activated for rapid ET.<sup>8,9</sup> Here, we consider the binuclear and higher nuclearity clusters, and how their mixed valent (MV) properties reflect electronic structures tuned for ET. In Cu<sub>A</sub>, a Cu–Cu bonding interaction at 2.4 Å keeps the MV oxidized site delocalized even in its low symmetry protein environment. In the iron sulfur clusters, the reduced  $[Fe_2S_2]^{1+}$  site is localized and antiferromagnetically (AF) coupled, while the  $[Fe_2S_2]^{1+}$  subsite of the Fe<sub>3</sub>S<sub>4</sub> and Fe<sub>4</sub>S<sub>4</sub> clusters is delocalized and ferromagnetically coupled. Finally we will evaluate how the protein tunes the redox properties of these metal cluster sites in biology.

#### The Cu<sub>A</sub> site

The reduced Cu<sub>A</sub> center has  $[2Cu]^{2+}$  which are oxidized by one electron to a MV  $[2Cu]^{3+}$  center. In the oxidized binuclear Cu center, the extra electron can be either localized on Cu<sub>a</sub> (with a wavefunction given by  $\psi$ [Cu<sub>a</sub>(I)Cu<sub>b</sub>(II)]), localized on Cu<sub>b</sub> (wavefunction =  $\psi$ [Cu<sub>a</sub>(I)Cu<sub>b</sub>(I)]), or delocalized between the two Cu centers as described by eqn (1).<sup>10</sup>



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Fig. 1 Electron transfer sites in bioinorganic chemistry.

$$\psi_{\text{ground}} = (1 - \alpha^2)^{1/2} \psi[\text{Cu}_a(I)\text{Cu}_b(II)] + \alpha \psi[\text{Cu}_a(II)\text{Cu}_b(I]]$$
(1)

In the Robin and Day classification scheme,<sup>11</sup> MV complexes are characterized as class I, II or III based on the value of  $\alpha^2$ , the extent of delocalization. A site with the extra electron completely localized,  $\alpha^2 = 0$ , is called a class I MV complex. In class II MV sites, partial delocalization of the extra electron occurs (*i.e.*  $0 < \alpha^2 < 0.5$ ). The completely delocalized case  $(\alpha^2 = 0.5)$  corresponds to the class III MV limit, which exhibits strikingly different spectroscopic features from those of the individual localized cases. For CuA, its electron paramagnetic resonance (EPR) signal (vide infra) exhibits equal hyperfine couplings of the unpaired electron to both Cu centers.<sup>12</sup> This indicates that CuA is at the delocalized Class III limit. The extent of delocalization is determined by the electronic coupling,  $H_{AB}$ , associated with bonding interactions between the valence orbitals on Cu<sub>a</sub> and Cu<sub>b</sub>. Note that this electronic coupling can involve both direct exchange of the valence orbitals of the two metals and superexchange through the bridging ligands.

An oxidized metal center will generally have shorter metal-ligand bond lengths than a reduced metal center. This



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distortion (symmetric contraction or elongation of all ligand-metal bonds of metal centers A and B in their breathing modes,  $Q_A$  and  $Q_B$  in an MV site gives an energy stabilization term that can trap the oxidation on the ligand contracted metal center. This is shown in Chart 1. Following the Piepho, Krausz and Schatz (PKS) model in the  $Q_{-}$  mode, which is the antisymmetric combination of the breathing modes  $(Q = 2^{-1/2}(Q_A - Q_B))$ , bottom of Chart 1),<sup>13</sup> the combined effect of electronic coupling,  $H_{AB}$ , and vibronic trapping,  $\frac{1}{\sqrt{2}}\lambda Q_{-}$ , on the delocalization of the ground state is given by eqn (2) in terms of the dimensionless coordinate  $x_{-}(x_{-} = (k_{-}/\lambda)Q_{-}, k_{-}$  is force constant and  $\lambda$  is the vibronic coupling parameter where  $\lambda \approx k_{(n^{1/2}\Delta r_{redox})}$ , in which *n* is the number of metal-ligand bonds and  $\Delta r_{redox}$  is the difference in the metal-ligand bond length between the oxidized and reduced centers). The fact that the CuA center is a class III MV system indicates that the electronic coupling has overcome the vibronic trapping for this site. This lack of vibronic trapping plays a significant role in lowering the Frank-Condon





Fig. 2 S K-edge and Cu L-edge XAS as probes of ligand-to-metal covalency. (a) Comparison of S K-edge spectra of blue copper and Cu<sub>A</sub> (bottom), energy level diagram depicting S 1s to RAMO transition (top),  $\beta^2$  is the amount of S p character in the RAMO. (b) Comparison of Cu L-edge spectra of blue copper and Cu<sub>A</sub> (bottom), energy level diagram depicting Cu 2p to RAMO transition (top).

barrier to ET by the Cu<sub>A</sub> center in biology (vide infra).

$$E^{\pm} = \frac{1}{2} \left( \frac{\lambda^2}{k_-} \right) x_-^2 \pm \left[ \frac{1}{2} \left( \frac{\lambda^2}{k_-} \right)^2 x_-^2 + H_{AB}^2 \right]^{1/2}$$
(2)

We first consider how the covalency of this center correlates to that of the very well documented<sup>8,9</sup> blue copper site. Ligand K-edge and metal L-edge X-ray absorption spectroscopies (XAS) provide direct probes of the ligand and metal character in their 1/2 occupied, redox active molecular orbital (RAMO). The S pre-edge at 2470 eV reflects the transition from the S 1s orbital to the RAMO. Since the 1s orbital is localized on the sulfur and  $s \rightarrow p$  is electron dipole allowed, the intensity of the S pre-edge is directly proportional to the S 3p character mixed into the RAMO due to covalent interaction with the metal, *i.e.*, the covalency of the sulfur-metal bond. From Fig. 2A, the intensity of the blue copper pre-edge is about twice that of Cu<sub>A</sub>. However, for Cu<sub>A</sub>, the pre-edge reflects the covalency/thiolate and must be doubled; therefore CuA has about the same thiolate character as blue copper, but delocalized over the two thiolates (38% S 3p in blue Copper, 46% S 3p in Cu<sub>A</sub>).<sup>14</sup> We will continue to use this S K-edge XAS method throughout this review to define the covalency of active sites.

The Cu L pre-edge at 930 eV reflects the Cu  $2p \rightarrow RAMO$  transition (Fig. 2B top). The 2p orbital is localized on the Cu nucleus and  $p \rightarrow d$  is electronic-dipole-allowed; therefore, the intensity of these pre-edges also reflects covalency, in this case the Cu d character in the RAMO. From Fig. 2B, blue copper and Cu<sub>A</sub> both have about the same Cu L-edge integrated intensity, therefore Cu d characters in their RAMO's (41% Cu d in blue copper, 44% Cu d in Cu<sub>A</sub>), however again for Cu<sub>A</sub>, this is delocalized over the two Cu centers.

The highly-covalent delocalized ground state wavefunction of Cu<sub>A</sub> makes major contributions to rapid ET.<sup>14</sup> From Fig. 3, the high covalency of the sulfur bridges activates specific superexchange pathways for ET. CuA is found in cytochrome c oxidase (and N<sub>2</sub>O reductase) and functions to take an electron from cytochrome c and transfer it rapidly to heme *a*; this involves long distances (>18 Å) with a low driving force. Fig. 3 shows that the high thiolate covalency activates pathways for ET into the buried Cu<sub>A</sub> center and from Cu<sub>A</sub> to heme a. For the ET to heme a, there are multiple pathways allowing for possible constructive and destructive interference. Further, the delocalized nature of Cu<sub>A</sub> distributes the geometry change associated with redox over twice the number of bonds as in a localized site, but with half of the distortion in each bond. As the reorganization energy  $(\lambda_{reorg})$  in Marcus theory<sup>6</sup> goes as the distortion squared  $(\lambda_{\text{reorg}} \approx k_{\text{dis}} n (\Delta r)^2$ , this decreases the reorganization energy by 1/2 and leads to a 15-fold increase in  $k_{\rm ET}$ .<sup>15</sup>

Also from the pre-edge energies in Fig. 2, the RAMO of  $Cu_A$  is 0.8 eV higher in energy than that of blue copper even though these have similar trigonal ligand fields. This must then reflect a bonding interaction between the Cu's at  $R_{Cu-Cu} = 2.4$  Å. This has been probed directly by absorption (Abs) and resonance Raman (rR) spectroscopies.<sup>16,17</sup>

Fig. 4C shows the Abs spectrum of Cu<sub>A</sub>. There are two regions: the bands at ~20 000 cm<sup>-1</sup> are thiolate to Cu charge transfer (CT) transitions as indicated by rR into these bands which shows enhancement in the symmetric, in-phase breathing mode at 337 cm<sup>-1</sup>( $\nu_4$ ), and in the Cu–S and Cu–N bond distortions at 260 cm<sup>-1</sup> ( $\nu_2$ ) and 270 cm<sup>-1</sup> ( $\nu_3$ ) (Fig. 4B solid line). Alternatively, excitation into the Abs band at 13 400 cm<sup>-1</sup> produces the rR spectrum given by the dashed line in Fig. 4B which shows only distortions in the breathing mode



Fig. 3 Proposed ET pathways in bovine heart CcO based on Pathways analysis. The Cys200 and His204  $Cu_A$ -to-heme *a* pathways are comparable in rate.

 $(\nu_4)$  and the symmetric out-of-phase accordion mode  $(\nu_1)$ indicating a change in the Cu–Cu distance.<sup>16–18</sup> From the rR intensities, the exited state distortion associated with this electronic transition can be estimated as a 0.44 Å elongation in the Cu–Cu bond with no change in Cu–L bond lengths.<sup>19</sup> This transition is assigned as the Cu–Cu  $\psi \rightarrow \psi^*$  transition (a transition between the Cu–Cu bonding-to-antibonding molecular orbitals of the class III MV system) with distortions only along the symmetric vibrational modes of the Cu<sub>2</sub>S<sub>2</sub> core, consistent with the completely delocalized nature of the ground state associated with a strong Cu–Cu interaction at 2.4 Å.

The bonding contributions to the Cu–Cu interaction in Cu<sub>A</sub> were elucidated by comparison to a class III MV model complex (Chart 2A) reported by Tolman and coworkers.<sup>16,20,21</sup> This complex has a Cu–Cu bond length of 2.9 Å, which eliminates the direct Cu–Cu bonding contribution to the interaction between the Cu's leading to delocalization. By comparison of the low temperature Abs/MCD spectra in Fig. 5 of the MV complex in red to the spectra of the homovalent analog (structure shown in Chart 2B) in blue, the band at 5600 cm<sup>-1</sup> can be assigned as the  $\psi \rightarrow \psi^*$ transition of the MV model. This reflects in the electronic coupling between the two Cu's (2*H*<sub>AB</sub>) and derives from the



**Fig. 4** (a) Raman modes of  $Cu_A$  site:  $Cu_2S_2$  core "accordion" bending mode ( $\nu_1$ ), mixed Cu–S/Cu–N stretching mode ( $\nu_2$ ), out-of-phase "twisting" Cu–S stretching mode ( $\nu_3$ ), breathing mode ( $\nu_4$ ). (b) Resonance Raman spectra of S  $\rightarrow$  Cu CT band excitation (solid line), and  $\psi \rightarrow \psi^*$  band excitation (dashed line); (c) Resonance Raman excitation profiles of the absorption spectrum (solid line) of Cu<sub>A</sub> site.





Fig. 5 Assignment of  $\psi - \psi^*$  transition in the MV model complex. Comparison of low-temperature absorption (a) and MCD (b) spectra for the mixed valence model complex (red lines) and the homovalent model complex (blue lines) reveals the  $\psi - \psi^*$  band at 5560 cm<sup>-1</sup> that is present only in the MV complex.



Fig. 8 includes the effect of vibronic coupling in the  $Q_{-}$  mode (*i.e.* eqn (2)) for Cu<sub>A</sub> and the MV model. From Fig. 8 right, the MV model is just at the delocalized limit due to its  $2H_{AB} = 5600 \text{ cm}^{-1}$ . Alternatively for Cu<sub>A</sub>, the large  $2H_{AB} = 13400 \text{ cm}^{-1}$  associated with the Cu–Cu bond at 2.4 Å gives a strongly stabilized, delocalized site which is critical in keeping Cu<sub>A</sub> delocalized even in its low symmetry protein environment.



**Fig. 6**  $D_{2h}$ -idealized Molecular orbital splittings in MV model and Cu<sub>A</sub>, showing the separate contributions of Cu–S ( $h_{Cu-S}$ ) and Cu–Cu ( $h_{Cu-Cu}$ ) bonding interactions to  $2H_{AB}$ .  $\sigma$  and  $\pi$  labels refer to the symmetries of Cu–Cu interactions only.



**Fig. 7** The spectroscopic definition of the electronic structure of Cu<sub>A</sub>. (a) Comparison of absorption spectra shows an increase in  $\psi - \psi^*$  transition energy relative to the mixed valence model. (b) Sulfur K-edge XAS reveals similar bridging thiolate covalency in Cu<sub>A</sub> center and the MV model.



Fig. 8 Potential energy surfaces in the  $Q_{-}$  mode for Cu<sub>A</sub> and the MV model showing the strong stabilization for valence delocalization in Cu<sub>A</sub> due to metal-metal bonding.

An interesting issue has then arisen which involves the energy of the  $\pi_{u}$  state relative to the  $\sigma_{u}^{*}$  ground state in Cu<sub>A</sub>.<sup>15</sup> From Fig. 9, correlation of the low temperature MCD with the Abs spectrum of Cu<sub>A</sub> shows two types of behavior: a derivative shaped, pseudo-A term (the derivative shape indicates an A-term description, but the temperature dependence of this signal shows that it is a combination of equal but opposite signed C-terms), in the thiolate to Cu CT region, and a negative C-term feature in the  $\psi \rightarrow \psi^*$  region. MCD intensity requires two perpendicular transition moments, and in the  $< D_{2h}$  symmetry of Cu<sub>A</sub>, all the electronic transitions are unidirectional. Therefore, MCD intensity requires spin orbital (S.O.) coupling between states with perpendicular transition moments. There are two mechanisms for this: from Fig. 10 left, two excited states with perpendicular polarizations can S.O. couple in a third direction to produce equal and opposite MCD features, a pseudo-A term. This is the assignment of the MCD spectrum in the CT region of Cu<sub>A</sub>.<sup>22</sup> Alternatively, there can be a low-lying (non-thermally accessible) state with a perpendicularly-polarized transition to the same excited state which can S.O. couple into the ground state in a third perpendicular direction. This is the assignment of the negative



**Fig. 9** (a) Room-temperature absorption, (b) low-temperature MCD spectrum of Tt Cu<sub>A</sub>; (c) TD-DFT calculated absorption spectrum of the Cu<sub>A</sub> model (total absorption – black, and individual components – red).

C-term of the  $\psi \rightarrow \psi^*$  transition of Cu<sub>A</sub>. The only low lying excited state capable of this coupling mechanism is  $\pi_u$ .<sup>23</sup>

TD-DFT calculations reproduce the Abs spectrum of  $Cu_A$ (Fig. 9) and give the  $\pi_u$  state at 3200 cm<sup>-1</sup> above the  $\sigma_u^*$ ground state. Consistent with this, the EPR spectrum of  $Cu_A$ gives an experimental  $g_{||} = 2.19$ . The deviation of g value from 2.0023 also derives from S.O. coupling of the  $\pi_u$  excited state into the  $\sigma_u$  ground state. The energy of the  $\pi_u$  can then be obtained from:

$$g|| \approx g_{\rm e} + 8\zeta_{3\rm d}^{\rm Cu} \alpha^2 \beta^2 / \Delta_{\sigma_{\rm u}^*/\pi_{\rm u}},\tag{3}$$

which (using the covalency of Cu<sub>A</sub> described above) gives  $\Delta_{\sigma_u^*/\pi_u} = 5000 \text{ cm}^{-1}$ . Thus the MCD, EPR and DFT calculations all give a  $\pi_u$  state at  $\geq 3200 \text{ cm}^{-1}$  above the  $\sigma_u^*$  ground state for Cu<sub>A</sub>. Alternatively, paramagnetic <sup>1</sup>H NMR studies of Cu<sub>A</sub> from several labs show an anti-Curie behavior indicating that the  $\pi_u$  state was only  $\sim 350 \text{ cm}^{-1}$  above the  $\sigma_u^*$  ground state.<sup>24–26</sup> The TD-DFT calculations were then used to explore the potential energy surfaces in the Cu–Cu coordinate for Cu<sub>A</sub>. From Fig. 11, at its equilibrium geometry with Cu–Cu = 2.4–2.5 Å, the TD-DFT calculations on Cu<sub>A</sub> give the  $\pi_u$  state at 3200 cm<sup>-1</sup> above the ground state, and this energy splitting is consistent with the analysis of the EPR and MCD data. However, upon elongation of the Cu–Cu distance, the  $\pi_u$  state



**Fig. 10** MCD C-term mechanisms.  $m_i$  indicates transition intensity in *i* direction and  $L_z^{PQ}$  indicates spin–orbit coupling in *z* direction between states *P* and *Q*.



Fig. 11 The ground state and the first excited state potential energy surfaces as a function of the Cu–Cu distance (black lines refer to the  $Cu_A$  cluster in the vacuum and green – the cluster in the protein environment).

comes down in energy and the energy of the  $\sigma_u^*$  increases. At a Cu–Cu ~3 Å, the  $\pi_u$  is the ground state and at an energy very similar to that of the  $\sigma_u^*$  in its equilibrium geometry of Cu–Cu = 2.4–2.5 Å.

This ground state adiabatic potential energy surface is appropriate for the thermally equilibrated states observed by <sup>1</sup>H NMR. From the Mayer bond analysis<sup>27</sup> in Fig. 12, the  $\pi_u$ state has a very similar total energy to the  $\sigma_u^*$  state because at the long Cu–Cu distance the M–M bond is eliminated, but the net Cu–S bond strength has increased. Comparison of the green to the black surface in Fig. 11 shows a very important role of the protein. From quantum mechanics/molecular mechanics (QM/MM) calculations, the protein (through Hbond interactions with the thiolate bridges, and axial interactions) stabilizes the  $\sigma_u^*$  ground state relative to  $\pi_u$ , which maintains the large electronic coupling matrix element between Cu's and keeps the state delocalized even in the low symmetry protein environment.

The issue of localization and delocalization has become important in a pH effect observed for Cu<sub>A</sub>.<sup>23,28</sup> This is thought to play a role in regulating proton pumping, where the function of cytochrome c oxidase is to translocate protons from inside to outside the membrane to create a gradient for ATP synthesis. Upon going to low pH, the EPR spectrum of Cu<sub>A</sub> (with 7 hyperfine lines associated with complete electron spin delocalization over 2Cu's, each with I = 3/2) goes to a 4line pattern indicating hyperfine coupling to only one Cu, thus apparent localization. An equivalent spectrum is observed in a H120A mutant of Cu<sub>A</sub> which eliminates the pH effect. Thus the low pH apparent localization (by EPR) is associated with elimination of one His ligand of CuA. Fig. 13 correlates the high pH EPR/Abs/MCD/EXAFS spectra to those of the low pH form and the H120A mutant. The low pH and mutant spectra are equivalent, and importantly these spectra are very similar to those of the high pH form with only a small shift in the  $\psi \rightarrow \psi^*$  energy. In fact, excitation into this band produces the same resonance enhancement of the symmetric breathing and accordion modes in the rR spectrum indicating an equivalent excited state distortion to that observed from Cu<sub>A</sub> at high pH (elongation of the Cu-Cu bond by



**Fig. 12** Mayer bond orders for the four Cu–S bonds (black lines) and Cu–Cu bond (red line) in the ground state of Cu<sub>A</sub> as a function of the Cu–Cu distance (the labels  $Cu_S$  and  $Cu_O$  denote the copper with a weak axial thioether sulfur and carbonyl oxygen ligand, respectively).

0.44 Å).<sup>16</sup> Therefore, from the excited state data, the low pH form of Cu<sub>A</sub> is still delocalized. rR excitation into the CT region shows that upon going to low pH, one of two His vibrations at 260 cm<sup>-1</sup> is eliminated, and from EXAFS, one Cu–N interaction (out of two) is lost as well. Thus in the low pH form of Cu<sub>A</sub> where one His is protonated off, the site remains delocalized but exhibits apparent localization by EPR.

Insight into this inconsistency between EPR and Abs/rR/MCD/EXAFS was obtained from geometry optimized DFT calculations of the wild type (w.t.)  $Cu_A$  site modeling the high and low pH forms (Fig. 14). The high pH calculations give a ground state with the electron spin approximately equivalently delocalized over the two copper centers (Fig. 14A). To model the low pH site, His120 was protonated, and upon geometry optimization this ligand comes off the Cu and is replaced by a nearby H2O molecule producing a distorted ligand field at this Cu, labeled Cuo as it has a weak axial carbonyl oxygen ligand (Fig. 14B). Importantly, the ground state wavefunction of this perturbed structure still shows about the same delocalization over the two Cu centers. However, the distorted ligand field of Cu<sub>O</sub> produces  $\sim 1\%$ mixing of the Cu 4s orbital into the RAMO of the binuclear site. In the high pH form, each Cu has the same relatively small negative contribution to the hyperfine coupling due to the delocalization of the electron spin over the two Cu centers. In the low pH form, the  $\sim 1\%$  4s mixing adds a direct Fermi contact contribution to the hyperfine of Cu<sub>o</sub>, which is large and positive. The net effect is to generate a Cuo with very small hyperfine coupling to the electron spin, even though it



Fig. 13 Comparison of the EPR/Abs/MCD/EXAFS spectra of the high pH form of Cu<sub>A</sub> to those of the low pH form and the H120A mutant.

has about as much spin density as the non-perturbed Cu. Thus the EPR spectrum can be misleading with respect to delocalization due to the potentially large effects of small contributions to the ground state wavefunction.<sup>23</sup>

The delocalization in Cu<sub>A</sub>, even with the His ligand substitution at low pH, can be understood from the potential energy surfaces in the  $Q_{-}$  mode of vibronic coupling in Fig. 15. The large  $2H_{AB}$  keeps the sites delocalized even with up to 120 mV inequivalence<sup>23</sup> between the two Cu centers. Thus the strong electronic coupling between the Cu's due to their direct  $\sigma$  bonding interaction at 2.4 Å results in a highly delocalized center which facilitates rapid ET over long distances with low driving forces.

#### Localized vs. delocalized mixed valence 2Fe sites

We next turn to the 2Fe site in biological ET, the plant ferredoxins. Their redox couple is  $Fe^{III}Fe^{III}$  to  $Fe^{III}Fe^{II}$ , where the reduced state is localized (from Mössbauer) and has AF coupling between the Fe's.<sup>29</sup> This gives an S = 1/2 ground state. The Fe–Fe distance is 2.73 Å and the first issue that arises is whether there is direct electronic coupling between the Fe<sup>III</sup> and Fe<sup>II</sup> at this distance. This was addressed through comparison of this localized  $Fe^{III}Fe^{II}$  site to a complex  $[Fe_2(OH)_3(tmtacn)_2]^{2+}$  (tmtacn = N,N',N''-1,4,7-trimethyltriazocyclononane) from Wieghardt and coworkers (Chart 3A)<sup>30</sup> which has 2Fe's held at 2.51 Å by three <sup>–</sup>OH bridges and is



Fig. 14 DFT geometry optimized structures (top) and β-LUMO contours (bottom) of (a) the high pH form; (b) the low pH form/H120 mutant.

class III delocalized with a ferromagnetic S = 9/2 ground state.<sup>31</sup> Allowing for interactions between two face-sharing octahedral Fe's leads to a splitting of the ten d-orbitals due to direct Fe–Fe  $\sigma$  bond involving two  $d_{z^2}$  orbitals (*z* along Fe–Fe) and superexchange pathways through the bridging <sup>–</sup>OH ligands. Adding 11 electrons while retaining an S = 9/2 ground



Fig. 15 Potential energy surfaces in  $Q_{-}$  mode for the low pH form of Cu<sub>A</sub> showing valence delocalization due to strong metal-metal bonding.

state gives the electron configuration at the right of Fig. 16. The  $d_{z^2} \sigma$ -bonding/antibonding splitting is  $2H_{AB}$  which for a magnetic system is 10*B* (Fig. 16), where *B* is the double exchange parameter.<sup>31,32</sup> Excitation of the extra electron in the  $d_{z^2} \sigma^b$  orbital into its  $\sigma^*$  counterpart results in an electron-dipole-allowed *z* polarized transition which is assigned to the band at 13 500 cm<sup>-1</sup> in the Abs/MCD spectra in Fig. 17.<sup>31</sup> This gives an experimental estimate of 10*B* for the complex. rR excitation into this transition results in enhancement of the symmetric breathing and accordion modes and generates an excited state distortion where the Fe–Fe distance increases to 2.9 Å due to this  $\sigma \to \sigma^*$  transition.

Fitting the rR excitation profiles (Fig. 18A and 18B), the Abs band-shape, and the temperature dependence of the Abs band-shape (Fig. 18C) yields the anharmonic potential energy surfaces in the Fe–Fe coordinate in Fig. 18D.<sup>31</sup> From Fig. 18D the  $\sigma \rightarrow \sigma^*$  splitting (10*B*) in the excited state is 6800 cm<sup>-1</sup>. Thus we have *B* in the ground (from Abs/MCD) and excited state geometries and can get an estimate of  $\Delta B/\Delta r = 1750$  cm<sup>-1</sup>/Å. This allows an estimation of *B* for the (Fe<sub>2</sub>S<sub>2</sub>)<sup>+</sup> site at its 2.73 Å Fe–Fe distance of 965 cm<sup>-1</sup> (this also involves a  $d_{z^2}$   $\sigma/\sigma^*$  interaction between the two-edge shared tetrahedral.<sup>33</sup> This is smaller than that of the complex [Fe<sub>2</sub>(OH)<sub>3</sub> (tmtacn)<sub>2</sub>]<sup>2+</sup> (Fe–Fe distance at 2.51 Å), but still large enough to produce a large electronic coupling between the Fe's for delocalization ( $2H_{AB} = 9650$  cm<sup>-1</sup>).<sup>33</sup>



We next need to consider the additional interaction between the Fe's associated with their exchange coupling. The magnetic coupling of an Fe(III) S = 5/2 with an Fe(II) with S = 2 gives  $S_{tot} = 1/2$ , 3/2. 5/2, 7/2, 9/2 dimer states, which are split in energy by intervals of the exchange coupling, *J*. Delocalization of the extra electron between the two Fe's splits each spin state into g/u dimer states (Fig. 19). This splitting is described by the double exchange term in the spin Hamiltonian which is dependent on the spin state ( $\Delta g/u = 2B(S + 1/2)$ ): the  $S_{tot} =$ 1/2 is split by 2*B*, while the  $S_{tot} = 9/2$  is split by 10*B*).<sup>31–33</sup> This dependence of the electronic coupling on the spin state can be understood from Fig. 20, where for the ferromagnetic configuration (S = 9/2) the extra electron is easily delocalized between the two Fe's, while for the AF configuration (S = 1/2) delocalization leads to an excited state which costs energy.<sup>34</sup>

For the complex  $[Fe_2(OH)_3(tmtacn)_2]^{2^+}$ , which is ferromagnetic and described by the right hand side of the B/J diagram (Fig. 19), we used SQUID magnetic susceptibility to measure the  $S_{tot}$  9/2–7/2 splitting to get the exchange interaction between the Fe's in the tris <sup>-</sup>OH bridged structure.<sup>33</sup> From the data in Fig. 21 no deviation of  $\mu_{eff}$  at high temperature was observed above the error bars of the data. From fits to the data, the



Fig. 16 Energy level diagram of the delocalized mixed valence  $[Fe_2(OH)_3(tmtacn)_2]^{2+}$  complex.



**Fig. 17** MCD (top) and absorption (bottom) spectra of the mixed valent  $[Fe_2(OH)_3(tmtacn)_2]^{2+}$  complex at 5 K;  $\sigma$  and  $\pi$  labels on the spectra refer to the energy level diagram of Fig. 16.

 $S_{\rm tot} = 7/2$  state must be >720 cm<sup>-1</sup> above the ground state. From Fig. 19 this splitting is given by 9J + B, and since we know B from the Abs/MCD/rR data on this complex we can get Jwhich must be less AF coupled than 70  $\text{cm}^{-1}$ . We can alternatively use the MCD data in Fig. 17 which gives the splitting of the  $\sigma$  and  $\pi$  super-exchange pathways of the tris <sup>-</sup>OH bridged structure (Fig. 16) to get an excited state estimate of J (using the Hey, Thibeault, Hoffman model),<sup>35</sup> which is less AF coupled than 23 cm<sup>-1</sup>. From these results the tris <sup>-</sup>OH bridges are not very effective in mediating AF coupling between the Fe's.<sup>33</sup> For the Fe<sub>2</sub>S<sub>2</sub> ferredoxin site which is AF coupled with an S = 1/2ground state, the left hand side of the B/J diagram in the Fig. 19 is appropriate. The  $S_{tot}$  1/2–3/2 splitting has been measured as 315 cm<sup>-1.36</sup> From Fig. 19 this needs to be corrected for  $B(S_{tot})$ 3/2-1/2 = -3J-B) which we have estimated at 965 cm<sup>-1</sup> from the  $\Delta B/\Delta r$  correlation in Fig. 18D.<sup>33</sup> This then gives a  $J \sim -430$  $\mathrm{cm}^{-1}$  for the reduced  $\mathrm{Fe}_2\mathrm{S}_2$  active site which is an order of magnitude stronger AF coupling than that of the complex  $[Fe_2(OH)_3(tmtacn)_2]^{2+}$ . Thus the bridging sulfide ( $\mu_2 S^{2-}$ ) is involved in a very covalent bonding interaction with the two Fe's which results in large AF coupling.<sup>33</sup>

Fig. 22 combines the exchange, double exchange and vibronic coupling for these complexes. For the complex  $[Fe_2(OH)_3$ -(tmtacn)\_2]<sup>2+</sup>, *B* is large and *J* is small due to the low covalency of the <sup>-</sup>OH bridges. This results in a B/|J| > 9 in Fig. 19 and  $S_{tot} = 9/2$  ground state. This has an electronic coupling of 10*B*, large enough to overcome the vibronic coupling which gives a delocalized ferromagnetic ground state as observed experimentally. For the  $[Fe_2S_2]^+$  site, while the *B* is somewhat decreased, the key feature is that the AF coupling is large. This gives B/|J| < 3 in Fig. 19 and an  $S_{tot} = 1/2$  ground state. For this spin state the electronic coupling is only 2*B*, and this combines with the vibronic coupling to produce a localized S = 1/2 ground state (Fig. 22, right) as observed experimentally.<sup>33</sup>

Thus it is the large AF exchange coupling associated with the high covalency of the  $\mu_2 S^{2-}$  bridges that leads to localization in  $[Fe_2S_2]^+$  sites.<sup>33</sup> This then raises the issue of whether going to the  $\mu_3 S^{2-}$  bridges in the Fe<sub>3</sub>S<sub>4</sub> and Fe<sub>4</sub>S<sub>4</sub> sites changes the covalency, hence the exchange coupling and its effect on delocalization.

#### Fe<sub>2</sub>S<sub>2</sub> (localized) vs. Fe<sub>4</sub>S<sub>4</sub> (delocalized)

As indicated earlier, S K-edge XAS is a direct probe of covalency of Fe–S bonds. Here we use this method to evaluate



**Fig. 18** (a) rRaman data of the  $[Fe_2(OH)_3(tmtacn)_2]^{2+}$  complex (<sup>16</sup>OH and <sup>18</sup>OH), (b) rR excitation profile obtained at 120 K, (c) Temperature dependent absorption band-shape and their corresponding fits and (d) Anharmonic potential energy surface of the  $[Fe_2(OH)_3(tmtacn)_2]^{2+}$  complex along the Fe–Fe coordinate.

the covalencies of the  $\mu_2 S^{2-}$  bridges in  $[Fe_2S_2]^+$  and  $\mu_3 S^{2-}$  bridges in  $[Fe_4S_4]^{2+}$  sites to elucidate whether this plays a role in going from the localized AF coupled S = 1/2 ground state in the Fe<sub>2</sub>S<sub>2</sub> centers to delocalized ferromagnetically coupled



Fig. 19 Relationship of relative spin state energy and B/|J|.



**Fig. 20** Electronic configuration for ferromagnetic and antiferromagnetic coupling in a mixed valent cluster.

 $S_{\text{tot}} = 9/2$  states in  $[\text{Fe}_2\text{S}_2]^+$  sub-sites of  $[\text{Fe}_4\text{S}_4]^{2+}$  clusters. The S K-edge spectrum of a 2Fe Fd model (Chart 3B) of Holm and coworkers is shown in Fig. 23A solid line.<sup>37–39</sup> It exhibits two peaks which can be assigned by comparison to models where the terminal thiolates are replaced by Cl<sup>-</sup> or the bridging S<sup>2–</sup> are replaced by Se<sup>2–40</sup>. This allows assignment of the lowest peak of the pre-edge as a transition involving the  $\mu_2$ S<sup>2–</sup> with the higher energy feature as the thiolate 1s  $\rightarrow$  3d pre-edge transition. These sulfide and thiolate contributions to the



Fig. 21 Temperature dependent SQUID magnetic susceptibility of the mixed valent  $[Fe_2(OH)_3(tmtacn)_2]^{2+}$  complex.

covalency are split in energy due to differences in their  $Z_{\text{eff}}$  and the resultant shift in their 1s orbital energies.

In going to the  $[Fe_4S_4]^{2^+}$  cluster,<sup>41</sup> one broad asymmetric pre-edge peak is observed which can also be resolved by comparison to the complexes of Holm and coworkers (Chart 3C) where the thiolates are substituted with Cl<sup>-</sup> or bridging S<sup>2-</sup> with Se<sup>2-</sup> (Fig. 23B).<sup>42</sup> This correlation shows that the  $\mu_3S^{2-}$  pre-edge transition is shifted up in energy by ~1 eV relative to a  $\mu_2S^{2-}$  bridge in the Fe<sub>2</sub>S<sub>2</sub> cluster due to its charge donation to three Fe's.

We can now compare the covalency per Fe-S bond of the  $\mu_3 S^{2-}$  bridge in the  $[Fe_4 S_4]^{2+}$  cluster to the  $\mu_2 S^{2-}$  bridge in the  $[Fe_2S_2]^+$  cluster, corrected to have the ions in the same Fe<sup>2.5</sup> redox state. From Fig. 24 the covalency of the  $\mu_3 S^{2-}$  of the 4Fe cluster is greatly reduced relative to the  $\mu_2 S^{2-}$  in the 2Fe cluster due to its covalent interaction with the third Fe.<sup>42</sup> It was shown in reference 42 that J scales as the covalency squared. Thus the AF exchange coupling of the  $\mu_3 S^{2-}$  bridges in the Fe<sub>4</sub>S<sub>4</sub> cluster is reduced by more than a factor of two relative to the exchange coupling associated to the  $\mu_2 S^{2-}$  bridges in the Fe<sub>2</sub>S<sub>2</sub> cluster. As shown in Fig. 25 this, combined with a larger B (DFT calculated) due to the shorter Fe–Fe distance in the  $Fe_4S_4$  cluster, leads to a B/|J| > 9 and an  $S_{\text{tot}} = 9/2$  ground state. The large electronic coupling in the  $S_{tot} = 9/2$  state (10B) now overcomes vibronic coupling<sup>42</sup> and leads to the delocalization observed experimentally.29



Fig. 22 Potential energy surface of different spin states for the mixed valent  $[Fe_2(OH)_3(tmtacn)_2]^{2+}$  (left) and the  $[Fe_2S_2]^+$  (right) clusters.



Fig. 23 S K-edge XAS of (a)  $Fe_2S_2(SPh)_4$  (--),  $Fe_2Se_2(SPh)_4$  (--) and  $Fe_2S_2Cl_4$  (...) and (b)  $Fe_4S_4(SPh)_4$  (--),  $Fe_4Se_4(SPh)_4$  (--) and  $Fe_4S_4Cl_4$  (...).

In summary, the polynuclear ET sites in biology have significant M–M  $\sigma$  bonding interactions. In Cu<sub>A</sub> this leads to delocalization even in the low symmetry protein environment. In the 2Fe Fds this is opposed by a large AF exchange coupling associated with the highly covalent  $\mu_2 S^{2-}$  bridges. The latter is greatly decreased upon sulfide bridging to additional Fe centers in the higher nuclearity iron–sulfur clusters (*i.e.*  $\mu_3 S^{2-}$ ) leading to their delocalization and associated redox properties.

Having developed an understanding of covalency and its effects on the electronic structure of the iron–sulfur clusters using S K-edge spectroscopy, we can now use this method to evaluate the effects of the protein on the cluster to control reactivity.

#### **Functional significance**

An important problem in bioinorganic chemistry has been to understand why structurally congruent  $[Fe_4S_4]^{2+}$  clusters in the HiPIP's are oxidized while in Fds these are reduced.<sup>43</sup> From our S K-edge data in Fig. 26, the edges, and hence covalencies of HiPIPs are very similar to that of the models of



Fig. 24 Predicted bridging sulfide covalency of a hypothetical delocalized  $[Fe_2S_2]^+$  cluster.

Holm and coworkers with alkyl thiolate terminal ligands (Fig. 26, blue).<sup>44</sup> Alternatively, the 4Fe Fds have significantly decreased S K-edge intensity, and hence Fe-S covalency (Fig. 26, red).<sup>45</sup> This decrease in covalency reflects the local H-bonding from the protein environment to the  $[Fe_4S_4]^{2+}$  cluster that tunes its reduction potential. The origin of this decrease in intensity was elucidated by S K-edges on the perturbed protein active sites in Fig. 27.46 The 4Fe cluster in Fd is exposed to solvent on the surface of the protein. Upon lyophilizing Fd, the S K-edge intensity reversibly increases (Fig. 27, red solid to dashed). Alternatively, in HiPIP the cluster is buried in the protein. If we reversibly unfold HiPIP to expose the cluster to solvent the intensity significantly decreases (Fig. 27, blue solid to dashed). Thus it is H-bonding of water to the exposed cluster in Fds that decreases the covalency of the  $Fe_4S_4$  clusters.

The reduction potential of Fd is ~800 mV above that of the model complex of Holm and coworkers.<sup>47</sup> From a correlation of covalency with  $E^{\circ}$  in Fig. 28,<sup>46</sup> this decrease in *S* covalency due to the local H-bonds corresponds to ~450 mV of the increase in potential in Fd. The non-local environment electrostatics contributes about an additional 350 mV to the increase in potential. This raises the intriguing possibility that  $E^{\circ}$  can be regulated by protein–protein and protein–DNA interactions which effect cluster solvation.<sup>46</sup>

The buried nature of the HiPIP  $Fe_4S_4$  active site raises the question of how it can participate in rapid ET with its redox partner at the surface.<sup>43</sup> From Fig. 29A oxidation of HiPIP leads to a large increase of S K-edge intensity, much more than expected in going from 18 to 19 valence holes on the  $Fe_4S_4$  cluster.<sup>44</sup> This indicates that the RAMO has a great deal of S character which is consistent with DFT calculations (Fig. 29A inset). This high ligand character can strongly couple the RAMO of the  $Fe_4S_4$  cluster into super-exchange pathways for ET to redox partners on the surface.<sup>44</sup>

A large decrease in Fe–S covalency is observed upon reducing a  $[Fe_4S_4]^{2+}$  model complex to the  $[Fe_4S_4]^+$  state (Fig. 29B).<sup>44</sup> However DFT calculations show that the RAMO involved in the Fd couple (Fig. 29B inset) is



Fig. 25 Potential energy surface of different spin states for the mixed valent  $Fe_2S_2^+$  sub-unit in  $Fe_2S_2$  (left) and  $Fe_4S_4$  (right) clusters.



Fig. 26 S K-edge of a  $Fe_4S_4$  Alkyl thiolate model complex (—), HiPIP (—) and Fd (—) in the resting state of the cluster.

primarily metal based (>90% Fe 3d in the wave-function) and thus the total Fe–S covalency should not be affected in a reduction process involving this RAMO. This implies that redox in Fd proteins involves large electronic relaxation which can facilitate ET by lowering the reorganization energy of the process.



**Fig. 27** Background subtracted S K-edge XAS of resting HiPIP (blue) *vs.* unfolded HiPIP (dashed blue) and resting Fd (red) *vs.* lyophilized Fd (dashed red).

Finally there is an additional interesting role of coupling the  $[Fe_2S_2]^+$  delocalized S = 9/2 subsite into the higher nuclearity clusters. Oxidation of this subsite would lead to an  $S_{tot} = 5$  spin state which is 0.9 eV above the AF  $S_{tot} = 0$  ground state of the oxidized homo-dimer. Alternatively, using the  $[Fe_3S_4]^0$ 



**Fig. 28** Plot of total Fe–S covalency as a function of  $[Fe_4S_4]^{2+}$  reduction potential *vs.* NHE for a series of  $[Fe_4S_4(SR)_4]$  complexes (filled black) and *Bt* Fd (filled red). The empty squares represent the predicted (using covalency)  $[Fe_4S_4]^{2+}$  electrochemical potentials. The  $[Fe_4S_4]^{2+}$  reduction potential for the reference complex  $[Fe_4S_4(SE)_4]^{2-}$  is indicated by the horizontal dashed grey line.



**Fig. 29** (a) S K-edge XAS of HiPiP protein in its resting  $[Fe_4S_4]^{2+}$  form (—), and in its oxidized (—) form, (inset) RAMO for HiPIP (b) S K-edge XAS of a synthetic model complex in the  $[Fe_4S_4]^{2+}$  form (—) and in its reduced (— — —) form, (inset) RAMO for Fd.

cluster as a model, the  $[Fe_2S_2]^+ S = 9/2$  subsite is AF coupled to the third Fe<sup>III</sup> to give an  $S_{tot} = 2$  ground state. Oxidation of the  $[Fe_3S_4]^0$  cluster gives an  $S_{tot} = 3/2$  state which is only 0.1 eV above its  $S_{tot} = 1/2$  ground state (Fig. 30).<sup>48</sup> Thus the



**Fig. 30** Calculated spin ladder for a  $[\text{Fe}_3\text{S}_4]^{1+}$  cluster (High Spin S = 15/2 to Low Spin S = 1/2). Oxidation of reduced  $[\text{Fe}_3\text{S}_4]^0$  cluster gives S = 3/2 state.

spin topology of the  $[Fe_3S_4]^0$  and the higher nuclearity clusters can lower the spin barrier for ET.

#### Summary

In earlier reviews we have focused on the blue copper sites as the "H-atom" of bioinorganic chemistry and considered how its electronic structure is tuned for rapid ET.<sup>8</sup> Here we have extended these considerations to multi-nuclear ET sites where direct  $\sigma$  bonding interactions can lead to electron delocalization between metal ions and enhance redox properties by tuning  $E^{\circ}$ , lowering  $\lambda$  and increasing electronic coupling into super-exchange pathways through the protein. In Cu<sub>A</sub> the high covalency of the thiolate bridging ligands further increases the electronic coupling between the Cu and enhances delocalization. Alternatively, for the iron sulfur dimers with more than one unpaired electron on each metal ion, the very covalent  $\mu_2$ -bridging sulfides provide efficient superexchange pathways for exchange coupling the additional electron spins which oppose delocalization and lead to a localized, antiferromagnetically coupled ground state in the reduced  $Fe_2S_2$ 

proteins. In going to the Fe<sub>3</sub>S<sub>4</sub> and Fe<sub>4</sub>S<sub>4</sub> clusters, their  $\mu_3 S^{2-}$  bridges are less covalent/Fe–S bond and this allows electron delocalization in these higher nuclearity clusters. The covalency of these clusters can be strongly affected by the protein environment, which can control redox properties (Fe<sub>4</sub>S<sub>4</sub> oxidation in HiPIP and reduction in ferredoxin) and provide effective electronic coupling through the protein for long range ET.

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